Article ID: 1000-7032(2022)01-0119-10

Light Enhanced Absorption of Graphene Based on Parity-time Symmetry Structure

YI Ling-jun, LI Chang-hong*

(College of Electronic Information, Qingdao University, Qingdao 266071, China) * Corresponding Author, E-mail: jiluch@ 126.com

Abstract: To enhance the absorption of graphene for optical waves in near-infrared communication wavelengths, a graphene-based absorber based on a periodic parity-time(PT) symmetry structure was proposed, which consists of the top graphene layer and the underlying periodic PT-symmetry unit. The absorption properties of graphene in the wavelength range of 1 450 - 1 650 nm are systematically studied by the transfer matrix method(TMM). The results show that by optimizing the graphene composite PT-symmetry micronano structure parameters, a 35-fold absorptance enhancement was achieved for the normal incidence near-infrared light in the studied wavelength range as compared to the free-standing graphene absorption. Meanwhile, for the oblique incidence near-infrared light with angle ranging from 0° to 30° , the average absorptance of the TE and TM polarization light is also enhanced by 19.7 and 54 folds, respectively. The structure has high-intensity absorption characteristics for the near-infrared light, which can be widely used in the design of devices such as absorbers, photodetectors and infrared optical sensors.

Key words:parity-time symmetry;graphene;near-infrared;transfer matrix methodCLC number:0613.71;0734Document code:ADOI:10.37188/CJL.20210322

基于宇称时间对称结构实现石墨烯光增强吸收

易凌俊,李长红* (青岛大学电子信息学院,山东青岛 266071)

摘要:为增强石墨烯对近红外通信波段光波的吸收,提出了一种基于周期性宇称-时间(Parity-time)对称结构的石墨烯基吸收器,该结构由顶层的石墨烯层和底层周期性 PT 对称单元构成。采用传输矩阵方法系统地研究了该结构中石墨烯对1450~1650 nm 波长范围内光波的吸收特性。结果表明,通过优化石墨烯复合 PT 对称微纳结构参数,对于所研究波长范围内垂直入射的近红外光波,单层石墨烯的平均吸收增强了35 倍。同时,对入射角在0°~30°范围内的光波,结构对 TE 极化波和 TM 极化波的平均吸收分别增强了19.7 倍和54倍。该结构对近红外通信波段光波具有高强度吸收特性,可广泛用于吸收器、光电探测器和红外光学传感器等器件的设计。

关键 词: 宇称时间对称; 石墨稀; 近红外; 传输矩阵

收稿日期: 2021-10-11;修订日期: 2021-10-29

基金项目: 国家自然科学基金(61307050,61701271); 山东省自然科学基金(ZR2016AM27)资助项目

Supported by National Natural Science Foundation of China (61307050, 61701271); Shandong Natural Science Foundation (ZR2016AM27)

1 Introduction

Optical absorber has been extensively used in photoelectric conversion system, photoelectric detection, military stealth and other fields. Therefore, improving the absorptance of the absorber for a specific band of light wave has always been the focus of scholars^[1-5]. Graphene, as one of the most promising two-dimensional nanomaterials, exhibits excellent electrical and optical properties, and consequence, graphene-based absorber has been attracting increasing interest, especially in the development of efficient absorbers based on graphene and other one-dimensional photonic crystals (1D-PC)^[6-10]. For instance, Gao et al. proposed a visible full-band optical wave absorber using graphene and 1D-PC composite structure, and a full-band absorptance of the visible optical band can reach 0.88^[11]. Zhu et al. also designed a composite structure composed of the heterogeneous structure of the graphene and photonic crystal (PC), by adjusting the external magnetic field and Fermi energy, each absorption band can have an absorption of more than 99%, and under certain conditions, a near-perfect 100% absorption can be achieved^[12]. Wu et al. propose a 1D graphene-based multilayer structure which composed of traditional dielectric material and graphene, the absorptance of the structure can be maintained higher than 80%^[13]. For designing graphene-based optical devices with excellent integrated properties, such as optoelectronic detector and optical communication device, the absorptance of graphene needs to be further enhanced.

When the conventional PC structure is used to improve the graphene absorptance, the structure has no gain effect on absorbed light waves. Even if the structure achieves perfect absorption of the light wave in the research wavelength, it still affects the practical use of the structure for the weak light wave signal. While for the PC structure satisfying the parity-time (PT) symmetry conditions^[14], the unique optical transmission and reflection phenomena will be generate^[15-17], and therefore the PT-symmetry PC structure could be also an excellent option to be used to heighten the absorption of graphene compared with the conventional PC structure.

Therefore, in the current paper, inspired by the fantastic optical transmission characteristic of the PT- symmetry structure, a novel graphene-based PC structure was developed based on the well-established theory of PT-symmetry. By utilizing the transfer matrix method (TMM), we have theoretically studied the effect of rear PT-symmetry structure on the absorption properties of top graphene layer. The efficient graphene absorption was obtained by fine PTsymmetry microcavity design and detailed parameter analysis and optimization. Compared the conventional PC structure, the proposed structure not only has high absorption properties, but also has attractive advantages such as simple structure and small mode volume, which provides a reference for the design and preparation of graphene-based optical devices.

2 Model and Theoretical Calculation

The overall structure were designed as shown schematically in Fig. 1, each dielectric layer can be simply represented as $G(AB)^{N}$ in Fig. 1 (a) or as $G(AB)^{N}$ in Fig. 1 (b). Thereinto, N represents the unit cell number of the rear PT-symmetry structure, G deputies graphene layer, which was placed to the foreword-side of the PT-symmetry photonic crystal. For the underlying structure, the gain-loss layers were formed by doping quantum dots in the substrate dielectric silica, denoted by A and B, respectively. The refractive index of A or B layer can be described as^[18]:

$$n_{\rm A} = n_{\rm SiO_2} + n_{\rm i}i, \qquad (1)$$

$$n_{\rm B} = n_{\rm SiO_2} - n_{\rm i}i, \qquad (2)$$

where n_A is the refractive index of the loss layer, n_B is the refractive index of the gain layer. n_{SiO_2} and n_i are the real and the imaginary component of the refractive index respectively. The imaginary component n_i characterizes the relationship between the system gain, the concentration of doping quantum dots and the distribution excited state quantum dots. When the underlying structure satisfies the PT-symmetry condition, the absolute value of the imaginary component n_i of layer A is tantamount to layer B. n_{si0_2} denotes the dielectric constant of the basal silica, which can be described by the Sellmeier dispersion relation as a simple approximation^[19]:

$$n_{\rm Si0_2} = \sqrt{1 + \sum_{i} \frac{C_i \lambda}{\lambda^2 - \lambda_i^2}} \quad (i = 1, 2, 3), \quad (3)$$

where λ is the wavelength, C_i is the oscillator strength constant, the values of C_1 , C_2 , C_3 are 0.7, 0.41, 0.9, respectively; λ_i is the characteristic oscillator wavelength, the values of λ_1 , λ_2 , λ_3 are 68, 116, 9 896 nm, respectively.



Fig. 1 (a) Schematic diagram of the 1D PT-symmetry PC structure $G(AB)^{N}$. (b) Schematic diagram of the 1D PT-symmetry PC structure $G(BA)^{N}$.

For the graphene layer on the top, the optical properties are closely related to its complex surface conductivity $\sigma_{\rm G}$. Its effective dielectric constant can be expressed as^[2]:

$$\varepsilon_{\rm G} = 1 + \frac{i\sigma_{\rm G}}{\omega\varepsilon_{\rm 0}d_{\rm G}},\tag{4}$$

where ε_0 is the vacuum dielectric constant; $d_{\rm G} = 0.34$ nm is the thickness of monolayer graphene; ω is the angular frequency of incidence light; $\sigma_{\rm G}$ is the surface conductivity of graphene, under random-phase approximation, which can be expressed as:

 σ

$$_{\rm G} = \sigma_{\rm in} + \sigma_{\rm out}, \qquad (5)$$

thereinto:

$$\sigma_{\rm in} = \frac{ie^2}{4\pi\hbar} \ln \left| \frac{2\mu - \hbar(\omega + i/\tau)}{2\mu + \hbar(\omega + i/\tau)} \right|, \qquad (6)$$

$$\sigma_{\rm out} = \frac{ie^2 k_{\rm B} T}{\pi \hbar^2 (\omega + i/\tau)} \Big[\frac{\mu}{k_{\rm B} T} + 2\ln(e^{-\frac{\mu}{k_{\rm B} T}} + 1) \Big],$$
(7)

where $\hbar = h/2\pi$ is the reduced Planck constant; *e* is electron charge; $k_{\rm B}$ is Boltzmann constant; T = 300 K is the Kelvin temperature; μ is the chemical potential, which determined by the electron concentration and can be controlled by the gate voltage $V^{[20]}$; $\tau = 0.5 \times 10^{-14}$ s denotes the relaxation time of graphene layer.

The transmission matrix method(TMM) is used

to study the absorption characteristics of the structure in this paper. Based on the system of Maxwell equations, the relationship between adjacent space fields can be calculated by the TMM, the transmission matrix of the *i*th monolayer can be defined by the following matrix^[21]:

$$\boldsymbol{M}_{i} = \begin{bmatrix} \cos \delta_{i} & -\frac{j}{\eta_{i}} \sin \delta_{i} \\ -j\eta_{i} \sin \delta_{i} & \cos \delta_{i} \end{bmatrix}, \quad (8)$$

where η_i is the impedance of the *i*th dielectric layer, for TE and TM polarization light, $\eta_i = \sqrt{\frac{\varepsilon_0}{\mu_0}} \cdot n_i \cdot \cos\theta$ and $\eta_i = \sqrt{\frac{\varepsilon_0}{\mu_0}} \cdot n_i / \cos\theta$, respectively; $\delta_i = -\frac{\omega}{c} \cdot n_i \cdot d_i \cdot \cos\theta$ is the phase shift; θ represents the incidence angle, d_i represents the thickness of the *i*th dielectric layer; $j = \sqrt{-1}$ is imaginary unit; ε_0 and μ_0 represent the vacuum dielectric constant and vacuum magnetic conductivity respectively; cand ω represent the speed of light in vacuum and incidence light angle frequency respectively. For the designed microcavity structure $G(AB)^N$ with N + 1layer medium, it can be regarded as a cascade of N + 1 transmission matrices, when light is transmitted in the layered structure, its transmission equation M_{total} and transmission coefficient t_{total} , as well as reflection coefficient r_{total} can be expressed by the following formulas:

$$\boldsymbol{M}_{\text{total}} = \boldsymbol{M}_{\text{G}} \left(\boldsymbol{M}_{\text{A}} \boldsymbol{M}_{\text{B}} \right)^{N} = \prod_{i=1}^{N+1} \boldsymbol{M}_{i} = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix},$$
(9)

$$t_{\text{total}} = \frac{2\eta_0}{(m_{11} + m_{12}\eta_0)\eta_0 + (m_{21} + m_{22}\eta_0)},$$
(10)

$$r_{\text{total}} = \frac{(m_{11} + m_{12}\eta_0)\eta_0 - (m_{21} + m_{22}\eta_0)}{(m_{11} + m_{12}\eta_0)\eta_0 + (m_{21} + m_{22}\eta_0)},$$
(11)

then, the transmission $T_{\text{total}} = |t_{\text{total}}|^2$ and reflection $R_{\text{total}} = |r_{\text{total}}|^2$ can be obtained, respectively.

The absorption of graphene layer can be described by the following two processes. Firstly, when incidence light transfers into the underlying PT-symmetry photonic crystal structure through the graphene layer, the initial absorption of incidence light is caused by graphene. And secondly, the Bragg reflection of incidence light is produced by the bottom layer PT-symmetry photonic crystal structure, when the reflected light passes through the graphene layer, ignoring other scattering losses in this scenario, the absorption of graphene can be derived by Poynting vector expression^[6]:

$$A_{\rm G} = 1 - r_{\rm total}^2 - \frac{t_{\rm total}^2}{t_{\rm PT}^2} (1 - r_{\rm PT}^2), \qquad (12)$$

where $r_{\rm PT}$ and $t_{\rm PT}$ represent the reflection coefficients and transmission coefficients of the underlying PTsymmetry structure, respectively.

3 Numerical Results and Discussion

We focus on the incidence light absorption properties of graphene for important infrared communication wavelength, and chose $\lambda_0 = 1$ 550 nm as the center wavelength in this paper. Meanwhile, to make the rear structure meet the PT-symmetry condition, the refractive index of AB layer meets the conjugate symmetry condition, the optical thickness of AB layer should be equal, from which we determined the optical thickness of the AB layer as $d_A =$ $d_B = n_{\rm Si0}/4n_0$. We first take the imaginary component $n_i = 0.15$ and N = 5 for the underlying PT-symmetry PC, the chemical potential $\mu = 0.38$ eV, thickness $d_c = 0.34$ nm for the monolayer graphene. Other structure parameters are given in part 2.

In order to research the effect of structure type on graphene absorption and the absorption properties of PT-symmetry structure without graphene layer. In Fig. 2(a), we plot the graphene absorption spectra of different structures and the absorption spectra of PT-symmetry structure $(BA)^{N}$ and $(AB)^{N}$ without graphene layer. From Fig. 2(a), we observe that there is an obvious absorption peak, with a FWHW of nearly 200 nm (from 1 450 nm to 1 650 nm), which is centered at the wavelength 1 550 nm, the difference is that the absorption of the structure $G(BA)^{N}$ is significantly greater than that of the structure G(AB)^N. Meanwhile, for the PT-symmetry structure $(BA)^{N}$ and $(AB)^{N}$. The structure exhibits negative absorption due to the gain effect on the incidence light, and thus enhancing the absorptance of the graphene layer. Next, we calculate the average absorptance of graphene in the wavelength range of 1 450 - 1 650 nm for different period number N. Results presented in Fig. 2(b) show that for the selected wavelength range, the graphene absorptance of structure $G(BA)^N$ is greater than that of



Fig. 2 (a) The absorption spectra of graphene and PT-symmetry units under different structures. (b) The average absorptance of graphene as a function of the period number N for different structures.

structure $G(AB)^N$ at different period N, and the average absorptance is the largest when N = 6.

For better illustrating the difference in the average absorptance of graphene layers in the two structures for the same period, we plot the transmission and reflection spectra of structure $G(AB)^N$ and structure $G(BA)^N$ when the period N = 6, respectively, and the results are shown in Fig. 3(a) and Fig. 3(b). As can be seen from Fig. 3, the transmission at differents wavelengths is almost identical for both kinds of the structures, but the reflection exhibits some numerical difference. In the wavelength range of 1 450 - 1 650 nm, the average reflection of structure $G(BA)^{N}$ is significantly larger than the structure $G(AB)^N$. The reason for this difference can be explained by the group velocity of light waves in different media. First of all, when the group velocity of light in the gain medium is lower than the lossy medium, there will be a longer action time between light wave and the gain medium, then external pump energy will be more converted into the electromagnetic energy of incidence light, thus producing the phenomenon of increased reflection^[22]. The gain and loss of the light wave in the two structures are unbalanced, and consequencely, the reflection amplification occurs in some wavelength range.



Fig. 3 (a) The transmission and reflection as a function of the light wavelength for the structure G(AB)^N. (b) The transmission and reflection as a function of the light wavelength for the structure G(BA)^N.

At the same time, the group velocity of the gain medium in structure $G(BA)^{N}$ is smaller than that in structure $G(AB)^{N}$, so the reflectivity of structure $G(BA)^{N}$ is larger.

We can know from Eq. (12) that the reflection of the underlying structure is the key factor affecting graphene absorptance. When the structure reflection is enhanced, it will cause further enhancement of the absorptance of graphene to the incidence light. the absorptance of graphene is more efficient in structural $G(BA)^N$ compared to structural $G(AB)^N$. Therefore, the structure $G(BA)^N$ and N = 6 is selected for the following studies.

Fig. 4 (a) depicts the eigen-spectra of the structure $G(BA)^{N}$ with the change of the imaginary component n_i . We can observe from Fig. 4 (a) that as n_i increased, the imaginary component of the transfer matrix eigenvalues fluctuate between -1 and 1, and tend to zero when n_i is greater than 1.04, which indicates that the imaginary component of the transfer matrix eigenvalues ceases to be imaginary when n_i is greater than 1.04. For our optimized system parameters, $n_i = 1.04$ is the PT-threshold of the structure $G(BA)^{N}$. For the values of n_i greater than the PT-threshold, the structure will no longer have an amplification effect on the incident light^[23]. Therefore,



Fig. 4 (a)Eigen-spectra of the transfer matrix for the structure G (BA)^N. (b) The absorption spectra of graphene under different imaginary components of the refractive index.

it is meaningful to consider only the structure eigenvalues less than the n_i value corresponding to the PT-threshold value.

The matrix eigenvalue of the imaginary part partly reflects the level of action of the structure on the incidence light waves, at some specific matrix eigenvalues, the structure will produce exotic optical phenomena^[23], which will enhance the graphene absorptance of the light wave. In Fig. 4(b), we plot the absorption spectrum of the graphene at these discrete n_i values when the matrix is near ± 1 , it can be seen that for the structure $G(BA)^N$, the absorption of graphene shows great distinctions as we change the imaginary component n_i . For clearly illustrating the effect of n_i on graphene absorptance, Tab. 1 lists the matrix eigenvalue corresponding to different discrete points n_i and the average absorptance A in the wavelength range from 1 450 nm to 1 650 nm.

Tab. 1 Matrix eigenvalue and average absroption of the structure $G(BA)^N$ under different n_i

n_i	Matrix eigenvalue		A
0.20	0.0107 + 0.9915i	0.0107 – 0.9915i	0.8124
0.50	-0.1918 -0.9972i	- 0. 1918 + 0. 9972 i	0.616 3
0.74	-0.262 +0.9984i	- 0.262 - 0.9984i	0.292 3
0.88	-0.5486 -0.9886i	-0.5486 +0.9886i	0.124 5
0.98	0.7918 + 0.9384i	0.7918 - 0.9384i	0.088 2

As can be seen from Tab. 1, for eigenvalues where the imaginary part tends to -1 or 1, only when the real part tends to 0 ($n_i = 0.20$), the average absorptance of graphene to light waves in the wavelength range from 1 450 nm to 1 650 nm reaches the maximum. Therefore, we can determine the imaginary component n_i of AB layer in the PT-symmetry structure based on the matrix eigenvalue, thereby enhancing the absorptance of graphene.

The wavelength value corresponding to the right edge of the absorption band is related to the chemical potential of graphene^[13], so changing the chemical potential of graphene will affect the graphene absorptance. As can be seen from Fig. 3, the enhancement effect of the proposed structure on graphene ab-

sorption is more obvious in the wavelength range of 1 450 - 1 650 nm. In order to study the influence of the chemical potential on graphene absorptance in the wavelength range, we determine the chemical potential from 0.38 eV to 0.42 eV according to the change rule of the graphene absorption band in literature^[13]. In Fig. 5(a) and (b), we plot the absorption spectrum of the structure $G(BA)^{N}$ and the single layer graphene versus wavelength at different chemical potential $\mu = 0.38, 0.39, 0.40, 0.41$, 0.42 eV, respectively. By comparing Fig. 5(a) and (b), it can be seen that for the proposed structure $G(BA)^{N}$, the graphene absorptance is greatly enhanced under the same chemical potential. Meanwhile, it can also be seen that the right absorption band edge has obvious red shift and the absorption band broadens with μ decreasing.

Tunability of the graphene absorption by the chemical potential could be explicated via the dependence of the graphene refractive index on its chemical potential. Taking Eqs. (4) - (7) into account, it is obvious that the absolute value of the $\varepsilon_{\rm g}$ increases when we increase the chemical potential, which in turn makes an increase in the total refractive index of the graphene layer. For confirming the phenomenon that the edge of absorption band red shift with the increase of chemical potential μ more intuitively, the variation curves of the real and imaginary parts of the graphene dielectric constant are given in Fig. 5(c) and Fig. 5(d), which are plotted with the chemical potential changes from 0.38 to 0.42 eV, respectively. It can be seen from Fig. 5(c) and (d) that for different chemical potentials, the spike in the real part of the graphene dielectric constant(real $\boldsymbol{\varepsilon}_{\mathrm{G}}$) corresponds to the sudden fall of the imaginary part (imaginary $\boldsymbol{\varepsilon}_{\mathrm{G}}$), furthermore, as the chemical potential decreases, the feature of the real and imaginary parts of the graphene permittivity have a celerity red shift in the investigated wavelength range from 1 450 nm to 1 650 nm, which is the dominating reason for the edge of absorption band red shift with the increase of chemical potential μ .

Therefore, combining the change curves of the real and imaginary parts of the graphene refractive



Fig. 5 (a) The absorption spectra of single-layer graphene with different chemical potential μ. (b) The absorption of single-layer graphene with different chemical potential μ. Real parts(c) and Imag parts(d) of the complex permittivity of graphene for different chemical potential μ.

index in Fig. 5(c) and (d), we can conclude that, to allow the structure to obtain a better absorption effect, the chemical potential value should be taken within the range of less than 0.38 eV. Without loss of generality, we choose the chemical potential $\mu = 0.38$ eV for the following calculation.

The dielectric constant of graphene is not only related to the chemical potential, but also to the external temperature. We further calculated the absorptance of graphene at different temperatures, the results shown that as the temperature changes from 250 °C to 400 °C, the average absorptance of graphene from 1 450 nm to 1 650 nm is close to 0.812, the change of external temperature has little effect on the absorption of graphene. Therefore, the working environment of the proposed structure is not strictly limited by temperature.

In the following section, we investigate the influence of incident angle of light wave on the absorption properties of graphene in TE and TM modes (see Fig. 6). The graphene absorber designed in this paper has a PT-symmetry periodic structure, therefore, when the incidence light transfers into vertically ($\theta = 0^\circ$), the absorber has a very high polarization insensitivity, the average absorptance obtained by incidence light with TE polarization wave and incidence light with TM polarization wave are almost identical, which can reach 0.812(35 folds than free-standing graphene). When the incidence angle θ of incidence light changes, the absorption of TE polarization wave and TM polarization wave by the absorber should be discussed respectively.

Fig. 6(a) plotted the absorption of TE polarization wave as a function of wavelength at different incidence angles from 0° to 30° . It can be seen that with the increase of incidence angle, the absorption peak moves to the short-wave direction (from 1 550 nm to 1 460 nm), meanwhile the absorption peak decreases from 4.038 to 0.348, and the average absorptance of light wave(from 1 450 nm to 1 650 nm) decreases from 0.813 to 0.123. As shown in Fig. 6(b), for the TM wave, the absorption peak also moves to the shortwave direction with the increase in the incidence angle, but the difference is that the absorption peak and the average absorptance increase first and then decrease with the increase of incidence angle, when the incidence angle is around 20°, the absorption peak and the average absorptance reach the maximum of 56.63 and 2.578, respectively. According to the analysis of the results, when the incidence angle changing from 0° to 30° , the average absorptance of the TE and TM polarization lights can be enhanced by 19.7 and 54 folds, respectively. Therefore, for TM polarization light,

graphene has the highest average absorptance at wavelengths 1 450 - 1 650 nm, which indicates that the structure is most sensitive to TM polarization light, and it should be pointed out that the larger the average absorptance value is, the better the absorption performance of graphene-based device is.

Since the underlying structure satisfies the PTsymmetry conditions, the absorptance of the top graphene layer is greatly enhanced for the studied wavelength range. Therefore, compared with other similar structures, the structure $G(BA)^N$ proposed in this paper has excellent absorption performance. For the graphene absorber proposed by Liu *et al.*, although the absorptance is also improved, the absorption bandwidth is very small^[24], therefore, for graphene-based photodetectors, the detection of light waves in a larger bandwidth cannot be realized. Meanwhile, it is worth noting that this paper mainly investigates the enhancement effect of the structure on graphene absorptance in the near-infrared band from 1 450 nm to 1 650 nm, which is an important communication band at the center of 1 550 nm. Therefore, we optimized the parameter of the structure on the basis of the central wavelength of 1 550 nm so that the resonance coupling effect of the structure at the central wavelength is the strongest, so as to realize the enhancement of graphene absorptance. Therefore, enhanced absorption of graphene to light waves of other wavelengths can be realized by changing the location of the central wavelength and optimizing the structural parameters.



Fig. 6 (a) The absorption of graphene in TE mode as a function of the light wavelength for different incidence angles. (b) The absorption of graphene in TM mode as a function of the light wavelength for different incidence angles.

4 Conclusion

In conclusion, we studied the near-infrared optical absorption of graphene layer prepared on top of a 1D PT-symmetry PC theoretically. We found that the proposed absorber has excellent absorption in the 1 450 – 1 650 nm wavelength range, when the imaginary component of the refractive index $n_i = 0.20$, the period number N = 6, the graphene chemical potential $\mu = 0.38$ eV, the average absorptance of graphene on the 1D PT-symmetry PC can be enhanced by about 35 folds under normal incidence light (for

the TE and TM wave). The results also show that for the TE and TM polarization light with the incidence angle changing from 0° to 30° , the average absorptance can be enhanced by 19.7 and 54 folds, respectively. These characteristics indicate that our proposal has certain reference significance for the design of graphene-based photodetector, absorber as well as communication devices.

Response Letter is available for this paper at:http:// cjl. lightpublishing. cn/thesisDetails # 10. 37188/CJL. 20210322.

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易凌俊(1994 -),男,河南南阳人, 硕士研究生,2018 年于南阳理工学 院获得学士学位,主要从事光子晶 体光学器件等方面的研究。 E-mail: photoniccrystal@163.com



李长红(1973 -), 女, 山东兖州人, 博 士, 副教授, 硕士生导师, 2008 年于北 京邮电大学获得博士学位, 主要从事 宽带通信网、光信息处理、光子晶体器 件、纳米光子学以及太阳能收集等方 面的研究。

E-mail: jiluch@ 126. com